

Guarantee Advice - Islamic User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee Advice User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advice - Islamic

As part of Conventional Guarantee Advice, the advising bank receive the Guarantee through MT760, 761. The Guarantee can be advise directly to the beneficiary.

The various stages involved in OBTFPM during advising of a guarantee are:

- Receive and verify guarantee (non-online channel) - Registration stage
- Input application details
- Upload of related mandatory and non mandatory documents
- Verify documents and capture details
- Input/Modify Details of LC - Data Enrichment Stage
- Check for sanctions & KYC status
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Guarantee Advise Islamic process flow is similar to that of conventional Guarantee Advise process flow.

In the following sections, let's look at the details for Islamic Guarantee Advising process:

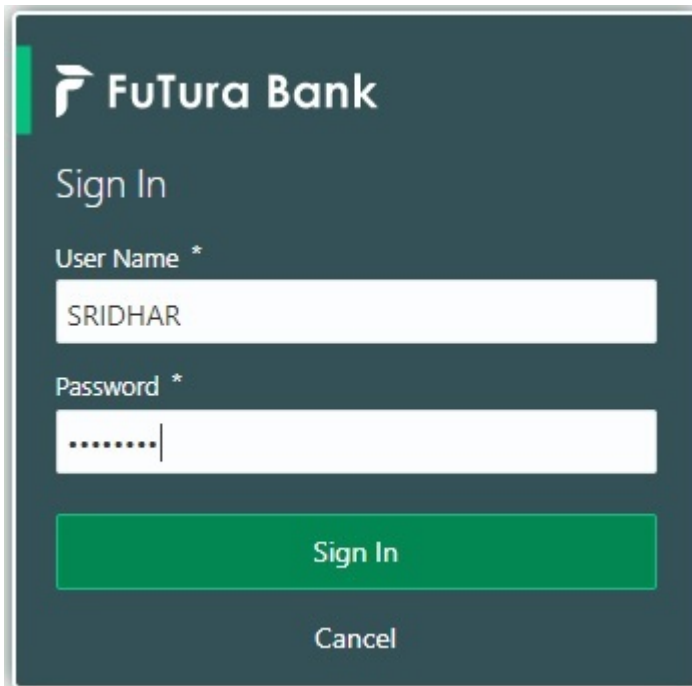
This section contains the following topics:

Registration	Scrutiny
Data Enrichment	Exceptions
Multi Level Approval	Reject Approval

Registration

As a Registration user, you can register a Guarantee Advice - Islamic request received by mail/Courier at the front desk. During Registration stage, user captures the basic details, check the signature of the authorized signatory of the Guarantee Issuing Bank and upload the related documents. On submit, the request will be available for an Guarantee Advice expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

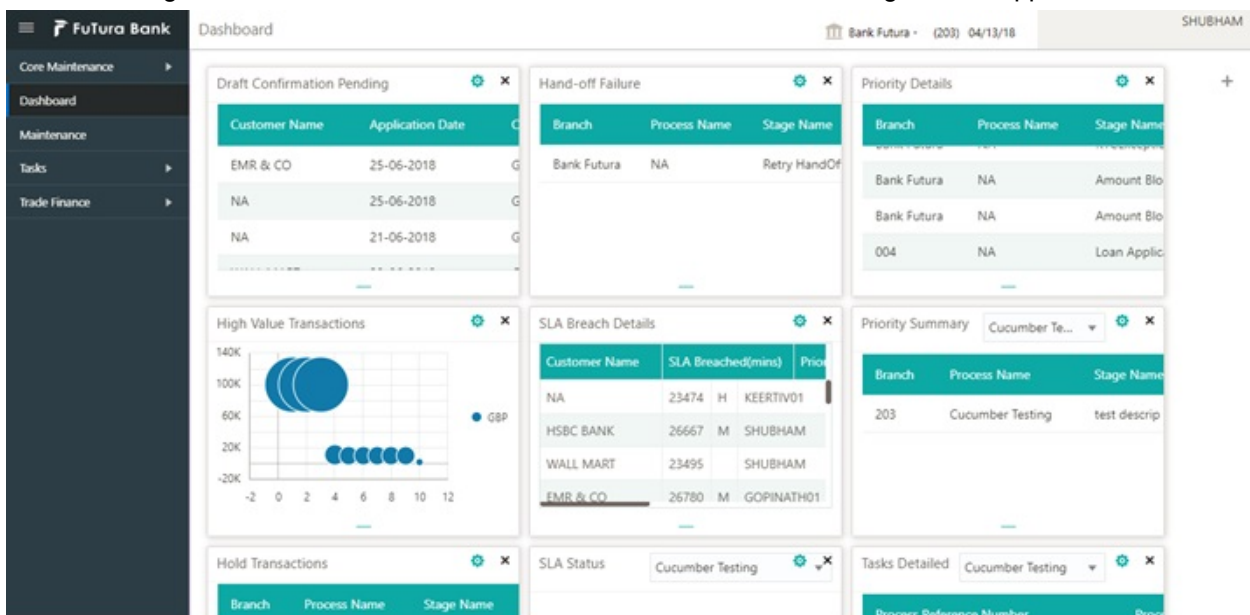
Password *

.....

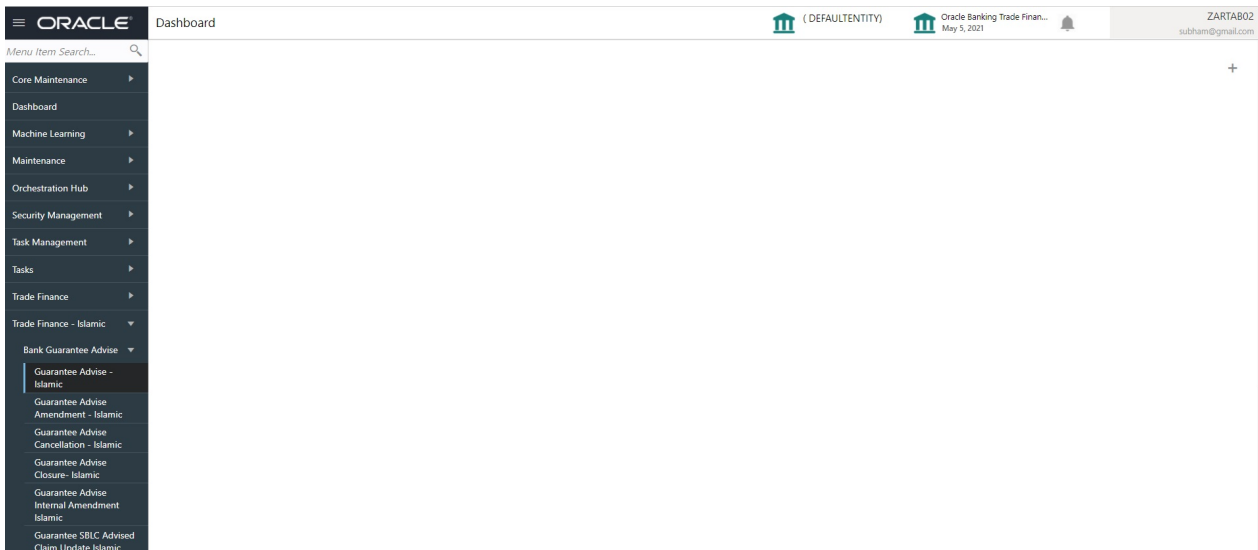
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance - Islamic > Bank Guarantee Advice > Guarantee Advise - Islamic.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the Registration stage:

Application Details

Guarantee Advise - Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Beneficiary *
000325 NATIONAL FRE [Download]

Submission Mode *
Desk

Branch *
PK1-Oracle Banking Trade Finan...

Process Reference Number
PK1IGTA000011462

32B - Currency Code, Amount *
USD \$120.00

Advising Date *
May 5, 2021

Priority *
Medium

Issuer *
003762 CITIGB2LNNN [Download]

Guarantee Details

22D - Form of Undertaking
[Dropdown]

20 - Undertaking Number
[Text]

22K - Type of Undertaking
[Dropdown]

Date of Expiry
[Calendar]

40E - Applicable Rules
[Dropdown]

Product Code
[Text]

22A - Purpose of Message
[Dropdown]

22K - Narrative
[Text] [Download]

35G - Expiry Condition/ Event
[Text] [Download]

40C - Narrative
[Text] [Download]

Product Description
[Text]

23X - File Identification
[Dropdown]

31C - Date of Issue *
May 5, 2021

Applicant
[Text] [Search]

39D - Additional Amounts
[Text] [Download]

Contract Reference Number
[Text]

23X - Narrative
[Text] [Download]

23B - Expiry Type
[Dropdown]

51 - Obligor/ Instructing Party
[Text] [Search]

Amount In Local Currency
GBP

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Beneficiary	Select the beneficiary customer from the LOV. If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert. For Guarantee Advising MT760, the Customer ID to be resolved from incoming message. If required use Walk-in ID. Bene ID/ name should be made amendable by the user.	001345

Field	Description	Sample Values
Branch	Select the branch. Customer's home branch will be displayed based on the customer ID and it can be changed, if required.  Note Once the request is submitted, Branch field is non-editable. For Guarantee Advising MT760, the branch to be resolved from CIF.	203-Bank Futura -Branch FZ1
Currency Code, Amount	Select the currency code. Provide the guarantee value (with decimal places) as per currency type. For Guarantee Advising MT760, the currency code is Read only and populated from Incoming MT 760.	GBP, 1,000.00
Priority	This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Guarantee Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted. For Guarantee Advising MT760, the field is defaulted and user can change its value.	High
Submission Mode	Select the submission mode of Guarantee Advice request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier For Guarantee Advising MT760, the Submission Mode is Read only - SWIFT.	Desk
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. For Guarantee Advising MT760, the Process Reference Number is read only and generated by the system.	203GTEADV0015920
Advising Date	System will default branch date. Back dating not allowed, if approved on a subsequent date, that date will be populated here. For Guarantee Advising MT760, the branch date to be defaulted. User cannot change the date. If approved on a later date, system should populate the branch date as on date of approval.	04/13/2018

Field	Description	Sample Values
Issuer	Select the issuing bank. Party type with banks will only be displayed in LOV. The system will display the a) SWIFT code (if available) b) Name and address of the bank On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated. For Guarantee Advising MT760, the issuing bank is read only and populated from Incoming MT 760.	

Guarantee Details

Registration user can provide Guarantee Details in this section. Alternately, Guarantee Details can be provided by Scrutiny user.

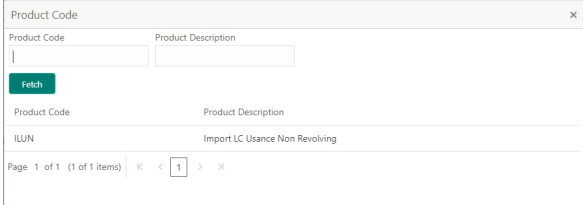
Guarantee Details

22D - Form of Undertaking 	Product Code 	Product Description 	Contract Reference Number
20 - Undertaking Number 	22A - Purpose of Message 	23X - File Identification 	23X - Narrative
22K - Type of Undertaking 	22K - Narrative 	31C - Date of Issue * 	23B - Expiry Type
Date of Expiry 	35G - Expiry Condition/ Event 	Applicant 	51 - Obligor/ Instructing Party
40E - Applicable Rules 	40C - Narrative 	39D - Additional Amounts 	Amount In Local Currency

Hold Cancel Save & Close Submit

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Select the Form of Undertaking from the available options: - DGAR - Guarantee - STBY - Standby LC  Note This is a mandatory field. For Guarantee Advising MT760, the Form of Undertaking value is read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Product Code	Select the applicable product code. Click the look up icon to search the product code with code or product description.  You can also enter the product code and on tab out system will validate and populate the selected product description. The product codes will be listed based on the selected value in Form of Undertaking. For Guarantee Advising MT760, user can enter the product code.	GUIA
Product Description	Auto populated by the application based on the Product Code. For Guarantee Advising MT760, Product Description is populated based on product code selected.	Guarantee Advising
Contract Reference Number	Auto-generated by back end application. Number will be populated on the selection of Product Code. For Guarantee Advising MT760, Contract Reference Number is generated from Back office System.	203GUIS18103 ALP5
Undertaking Number	Provide the undertaking number available in the guarantee/SBLC. For Guarantee Advising MT760, the Undertaking Number is read only and populated from Incoming MT 760.	
Purpose of message	Select the purpose of message from the LOV: - ACNF - Advice and confirmation of issued undertaking - ADVI - Advice of issued undertaking For Guarantee Advising MT760, the Purpose of message is read only and populated from Incoming MT 760. Values are: - ACNF - Advice and confirm (Limits required) - ADVI - Advice	

Field	Description	Sample Values
File Identification	This field enables the user to select the type of delivery channel and its associated file name or reference from the available values: • COUR - Courier delivery • EMAL - Email transfer • FACT - SWIFTNet FileAct • FAXT - Fax transfer • HOST - Host-to-Host • MAIL - Postal delivery • OTHR - Other delivery channel For Guarantee Advising MT760, the File Identification value is read only and populated from Incoming MT 760.	
Narrative	If File Identification field values are COUR or OTHR, user must be able to provide description in this field. For Guarantee Advising MT760, the Narrative is read only and populated from Incoming MT 760.	
Type of Undertaking	Select the guarantee type from the following available options: • Advance Payment Guarantee • BILL - Bill of Lading • DPAY - Direct Pay • CUST - Customs • INSU - Insurance • JUDI - Judicial • LEAS - Lease • PAYM - Payment Guarantee • PERF - Performance • RETN - Retention • SHIP - Shipping - For shipping guarantee • TEND - Tender or Bid • WARR - Warranty/maintenance • OTHR - Other delivery channel For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	Financial Guarantee
Narrative	Provide the details of any other type of local undertaking. This field is applicable if the Type of Undertaking has value as OTHR. For Guarantee Advising MT760, the Narrative is read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Date of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of registration. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	04/13/18
Expiry Type	This field indicates whether undertaking has specified expiry date or is open-ended. Select the applicable value from the available options: • COND - Conditional Expiry • FIXD - Specified expiry date (with/without automatic expansion) • OPEN - No specific date of expiry For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Date Of Expiry	Provide the expiry date of the Guarantee Issuance. The expiry date can be equal or greater than the issue date. If the Expiry Date is earlier than the issue date, system will provide an error and if the 'Expiry Date is equal to the Issue Date', system will provide an alert message. This field is applicable only if Expiry Type is COND or FIXD. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	09/30/18
Expiry Condition/Event	This field specifies the documentary condition/event that indicates when the local undertaking will cease to be available. This field is applicable only if Date of Expiry field value is COND. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Applicant	Applicant details will be auto populated based on the details provided in Application Details section. If the request is received from Applicant bank, select the applicant from the List of Values. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	001345 Nestle
Obligor/Instructor Party	Select the party obligated to reimburse the issuer. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Applicable Rules	Select the applicable rules for the Guarantee Issuance from the available options: • URDG - Uniform rules for demand guarantees • UCPR - Uniform customs and Practices • ISPR - International standby Practices • OTHR • None - Not subject to any rules For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	URDG - Uniform rules for demand guarantees
Narrative	If Applicable Rules field value is None, user must be able to provide description in this field. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Additional Amounts	Provide any additional amounts related to undertaking. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	

Miscellaneous

Guarantee Advise - Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Beneficiary * 000325 NATIONAL FREK

Submission Mode * Desk

Branch * PK1-Oracle Banking Trade Finan...

Process Reference Number PK11GTA00011462

32B - Currency Code, Amount * USD \$120.00

Advising Date * May 5, 2021

Priority * Medium

Issuer * 003762 CITIGB2LNNN

Guarantee Details

22D - Form of Undertaking

20 - Undertaking Number

22K - Type of Undertaking

Date of Expiry

40E - Applicable Rules

Product Code

22A - Purpose of Message

22K - Narrative

35G - Expiry Condition/ Event

40C - Narrative

Product Description

23X - File Identification

31C - Date of Issue * May 5, 2021

Applicant

39D - Additional Amounts

Contract Reference Number

23X - Narrative

23B - Expiry Type

51- Obligor/ Instructing Party

Amount In Local Currency GBP

Hold Cancel Save & Close Submit

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Action Buttons

Submit	On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
--------	--	--

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Advice Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge.	

Scrutiny

On successful completion of Registration of an Islamic Guarantee advice request, the request moves to Scrutiny stage. The user can scrutinize request for Islamic Guarantee advice.

As part of scrutiny, user can enter/update basic details of the Islamic Guarantee request and can verify if the request can be progressed further. The task initiated from the online channel (SWIFT MT760, 761 parsing) should be created in the Scrutiny stage directly as in conventional process flow.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.

FuTura Bank

Sign In

User Name *

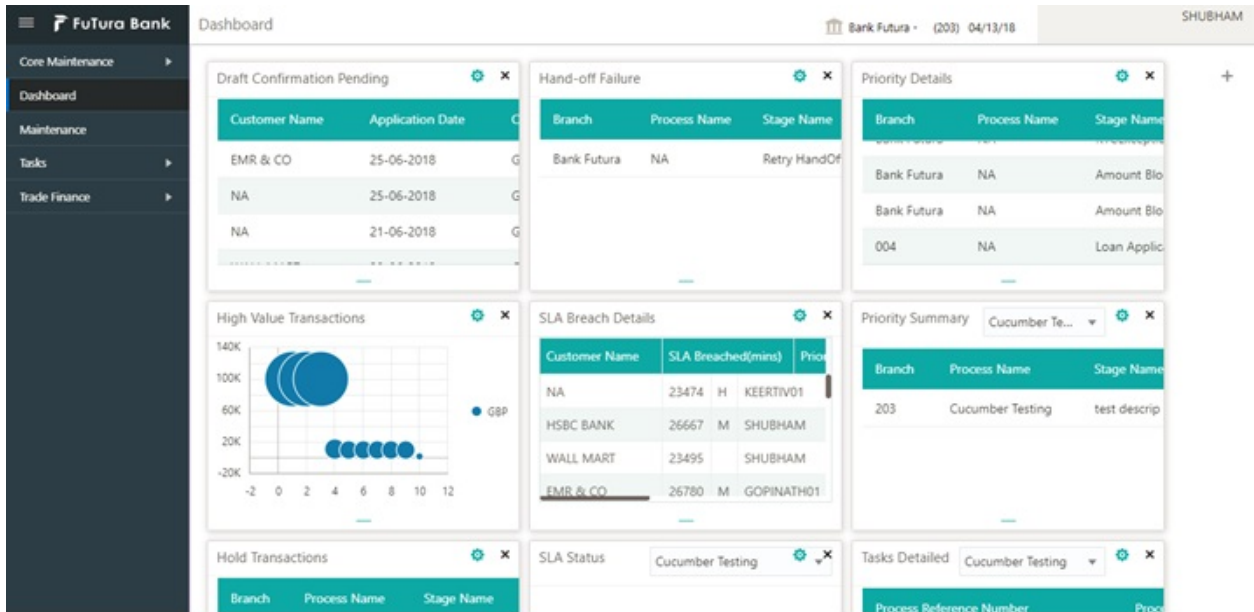
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Tasks > Free Tasks**.

The screenshot shows the Oracle Free Tasks page. The table lists tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The tasks are filtered by 'Free Tasks' and show 197 items.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Guarantee Advise Islamic	PK11GTA000009290	PK11GTA000009290	Scrutiny	22-02-07	PK2	
Acquire & Edit	Medium	Islamic Export LC Drawing Up...	PK21ELU000009296	PK21ELU000009296	Scrutiny	22-02-07	PK2	001044
Acquire & Edit	Medium	GuaranteeAdv Amendment B...	PK21GAA000009288	PK21GAA000009288	DataEnrichment	22-02-07	PK2	001044
Acquire & Edit	Medium	GuaranteeAdv Amendment B...	PK21GAA000009286	PK21GAA000009286	DataEnrichment	22-02-07	PK2	001044
Acquire & Edit	Medium	Guarantee Cancellation Islamic	PK21GCI000009285	PK21GCI000009285	DataEnrichment	22-02-07	PK2	001044
Acquire & Edit	Medium	GuaranteeAdv Amendment B...	PK21GAA000009282	PK21GAA000009282	DataEnrichment	22-02-07	PK2	001044
Acquire & Edit	Medium	Guarantee Cancellation Islamic	PK21GCI000009281	PK21GCI000009281	DataEnrichment	22-02-07	PK2	000153
Acquire & Edit	Medium	Islamic Export LC Transfer Am...	PK21ETR000009280	PK21ETR000009280	Scrutiny	22-02-07	PK2	001044
Acquire & Edit	Medium	Islamic Export Documentary C...	PK21EDU000009278	PK21EDU000009278	DataEnrichment	22-02-07	PK2	001044
Acquire & Edit	Medium	Export LC Amendment Islamic	PK21ELM000009276	PK21ELM000009276	Scrutiny	22-02-07	PK2	001044
Acquire & Edit	Medium	Export LC Drawing - Islamic	PK21ELD000009274	PK21ELD000009274	Scrutiny	22-02-07	PK2	001044
Acquire & Edit	Medium	Guarantee Cancellation Islamic	PK21GCI000009271	PK21GCI000009271	DataEnrichment	22-02-07	PK2	000153
Acquire & Edit	Medium	Shipping Guarantee Issuance	PK25GTI000009270	PK25GTI000009270	DataEnrichment	22-02-07	PK2	001044

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot shows the Oracle Free Tasks page. The table lists tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The tasks are filtered by 'Free Tasks' and show 264 items.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Guarantee Advise Islamic	PK11GTA000011462	PK11GTA000011462	Scrutiny	22-03-19	PK2	
Acquire & Edit	High	Guarantee SBLC Advise...	PK2GADC000011460	PK2GADC000011460	Approval Task Level 1	22-03-19	PK2	001044
Acquire & Edit	High	Guarantee SBLC Advise...	PK2GADC000011459	PK2GADC000011459	DataEnrichment	22-03-19	PK2	001044
Acquire & Edit	Medium	Guarantee Advise Amen...	PK2GTEA000011453	PK2GTEA000011453	DataEnrichment	22-03-19	PK2	000153
Acquire & Edit	Medium	Guarantee SBLC Advise...	PK2GADC000011260	PK2GADC000011260	Handoff RetryTask	22-03-15	PK2	001044
Acquire & Edit	Medium	Guarantee SBLC Advise...	PK2GADC000011259	PK2GADC000011259	Handoff RetryTask	22-03-15	PK2	001044
Acquire & Edit	Medium	Guarantee Advise Amen...	PK2GTAA000011440	PK2GTAA000011440	DataEnrichment	22-03-19	PK2	001044
Acquire & Edit	Medium	Islamic Drawings Under...	PK2IDRT000011432	PK2IDRT000011432	DataEnrichment	22-03-18	PK2	000329
Acquire & Edit	Medium	Guarantee SBLC Issuanc...	PK2GISC000011431	PK2GISC000011431	DataEnrichment	22-03-18	PK2	000325
Acquire & Edit	Medium	Guarantee SBLC Issuanc...	PK2GISC000011423	PK2GISC000011423	DataEnrichment	22-03-18	PK2	000325
Acquire & Edit	Medium	Import LC Amendment	PK2ILCA000011419	PK2ILCA000011419	KYC Exceptional approval	22-03-18	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCB000011401	PK2EDCB000011401	DataEnrichment	22-03-18	PK2	001356
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCB000011400	PK2EDCB000011400	DataEnrichment	22-03-18	PK2	001356

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
☒ Edit	Medium	Guarantee Advise Islamic	PK11GTA000009290	PK11GTA000009290	Scrutiny	22-02-07	PK2	
☐ Edit	Medium	Islamic Export Docume...	PK2IEDL000009083	PK2IEDL000009083	DataEnrichment	22-02-03	PK2	001044
☐ Edit	Medium	Import Documentary C...	PK2IDCU000008913	PK2IDCU000008913	Approval Task Level 1	22-02-01	PK2	000153
☐ Edit	Medium	Import Documentary C...	PK1IDCB000008315	PK1IDCB000008315	Registration	22-01-21	PK2	000325
☐ Edit	Medium	Import Documentary C...	PK1IDCB000008276	PK1IDCB000008276	DataEnrichment	22-01-20	PK2	000322
☐ Edit	Medium	Import Documentary C...	PK2IDCR000008114	PK2IDCR000008114	DataEnrichment	22-01-18	PK2	000153
☐ Edit	Medium	Export Documentary Co...	PK2EDCR000008031	PK2EDCR000008031	DataEnrichment	22-01-17	PK2	000153
☐ Edit	--	Export Documentary Co...	PK2EDCR000008023	PK2EDCR000008023	Registration	22-01-17	PK2	000153
☐ Edit	--	Export Documentary Co...	PK2EDCR000007966	PK2EDCR000007966	Registration	22-01-14	PK2	000153
☐ Edit	Medium	Export Documentary Co...	PK2EDCL000007937	PK2EDCL000007937	DataEnrichment	22-01-13	PK2	000153
☐ Edit	Medium	Export Documentary Co...	PK2EDCU000007098	PK2EDCU000007098	KYC Exceptional approval	21-12-15	PK2	000153
☐ Edit	Medium	Export Documentary Co...	PK2EDCU000007766	PK2EDCU000007766	DataEnrichment	22-01-08	PK2	000153
☐ Edit	Medium	Export Documentary Co...	PK1EDCB000007422	PK1EDCB000007422	DataEnrichment	21-12-27	PK2	000322

The Scrutiny stage has three sections as follows:

- Main Details
- Guarantee Preferences
- Documents and Instructions
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** field. Refer to [Application Details](#) for more information of the fields.

Guarantee Advise Islamic Scrutiny :: Application No:- PK1IGTA000011462

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main

Guarantee Preferences

Additional Fields

Additional Details

Summary

Main

Application Details

Beneficiary: 000325 NATIONAL FREK

Branch: PK1-Oracle Banking Trade Finan...

32B - Currency Code, Amount: \$120.00

Priority: Medium

Submission Mode: Desk

Process Reference Number: PK1IGTA000011462

Advising Date: May 5, 2021

Issuer: 003762 CITIGB2LNNN

Guarantee Details

22D - Form of Undertaking

20 - Undertaking Number

22K - Type of Undertaking

Date of Expiry

40E - Applicable Rules

Product Code

22A - Purpose of Message

22K - Narrative

35G - Expiry Condition/ Event

40C - Narrative

Product Description

23X - File Identification

31C - Date of Issue

Applicant

39D - Additional Amounts

Contract Reference Number

23X - Narrative

23B - Expiry Type

51 - Obligor/ Instructing Party

Amount In Local Currency: GBP £0.00

Reject Refer Hold Cancel Save & Close Back Next

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Guarantee Details

22D - Form of Undertaking

20 - Undertaking Number

22K - Type of Undertaking

Date of Expiry

40E - Applicable Rules

Product Code

22A - Purpose of Message

22K - Narrative

35G - Expiry Condition/ Event

40C - Narrative

Product Description

23X - File Identification

31C - Date of Issue

Applicant

39D - Additional Amounts

Contract Reference Number

23X - Narrative

23B - Expiry Type

51 - Obligor/ Instructing Party

Amount In Local Currency: GBP £0.00

Reject Refer Hold Cancel Save & Close Back Next

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	This button displays the applicable MT761 with MT760. Click to allow parsing of MT 760 along with MT761(up to 7) messages together to create a Guarantee Issuance. The user can also view the incoming MT765 by clicking the Incoming Message button.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Guarantee preferences

The user scrutinizes the request for Islamic Guarantee. In case the request is received through online channel, user verifies the details populated.

Guarantee Advise Islamic Scrutiny :: Application No: PK1GTA000011462

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main Guarantee Preferences Additional Fields Additional Details Summary

Guarantee Preferences

Preferences

77U - Terms and Conditions 44H - Governing Law and Jurisdiction

Automatic Extension Details

Automatic Extension Req'd 23F - Auto Extension Period Extension Details 78 - Non Extension Details

26E - Non Extension Notice Period 315 - Auto Extension Final Expiry Date

Demand Indicator

48B - Demand Indicator

Underlying Transaction Details

45L - Underlying Transaction Details

Delivery of Original Undertaking

24E - Delivery of Original Undertaking 24E - Narrative 24G - Delivery to/ Collection by 24G - Narrative

Transfer Details

48D - Transfer Indicator 39E - Transfer Conditions

Others

72Z - Sender to Receiver Information 71D - Charges 57A - Advise Through Bank 41a-Available with

49 - Confirmation Instruction 58A - Requested Confirmation Party Confirming Bank

Reject Refer Hold Cancel Save & Close Back Next

Preferences

Provide the preferences details based on the description in the following table:

Field	Description	Sample Values
Terms and Conditions	Specify the terms and conditions from the LOV that are not already mentioned. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760. The field displays the content from MT760 and all the applicable MT 761.	
Governing Law and Jurisdiction	Select the applicable governing law and jurisdiction for the undertaking. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

Automatic Extension Details

Provide the Automatic Extension Details based on the description in the following table:

Field	Description	Sample Values
Automatic Extension Required	Toggle On: Set the toggle On, if automatic extension for expiry date is required. Toggle Off: Set the toggle Off, if automatic extension for expiry date is not required.  Note This field is not applicable Validity field in Registration stage has value as Open. In case of Guarantee Advising MT760, this button is enabled if 23F field has value.	
Auto Extension Period	Select the auto extension period for expiry date from the following options: • Days • One year • Others  Note This field is applicable only if Auto Extension Req'd toggle is set to On. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Extension Details	Provide the extension details for the expiry date.  Note This field is applicable only if Auto Extension Period field value is Days/ Others. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Non-Extension Details	Provide the non-extension details for automatic expiry date extension such as notification methods or notification recipient details.  Note This field is applicable only if Auto Extension Period field has values. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Non-Extension Notice Period	Provide the non-extension notice days.  Note This field is applicable only if Auto Extension Period field has values. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Auto Extension Final Expiry Date	Provide the final extension date for automatic expiry date extension after which no automatic extension is allowed.  Note This field is applicable only if Auto Extension Period field has values. If Automatic Extension Required toggle is set to Yes, the user can manually enter the value. This date/duration can be beyond the calculated value provided in the “Auto Extension Period”. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

Demand Indicator

Field	Description	Sample Values
Demand Indicator	This field specifies whether partial and/or multiple demands are not permitted. Select the demand indicator from the following options: • Multiple demands not permitted - Partial amount can be claimed • Multiple and partial demands are not permitted - Entire amount can be claimed • Partial demands are not permitted - Entire amount can be claimed For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

Underlying Transaction Details

Field	Description	Sample Values
Underlying Transaction Details	Select the underlying business transaction details (for which the undertaking is issued) from the LOV. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

Delivery of Original Undertaking

Field	Description	Sample Values
Delivery of Original Undertaking	Select the method of the delivery from the following options by which the original local undertaking needs to be delivered: • COLL - By Collection • COUR - By Courier • MAIL - By Mail • MESS - By Messenger - Hand Deliver • OTHR - Other Method • REGM - By Registered Mail or Airmail  Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

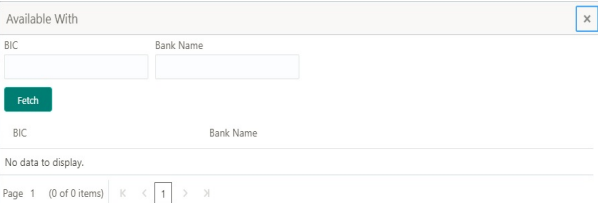
Field	Description	Sample Values
Narrative	Provide the description of method of delivery of original undertaking.  Note This field is applicable only if the Delivery of Original Undertaking field value is COUR/OTHR. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Delivery to/Collection by	Select the details of to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected: • BENE - Beneficiary • OTHR - Others  Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Narrative	Provide the name and address.  Note This field is applicable only if the Delivery to/Collection by field value is OTHR. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

Transfer Details

Field	Description	Sample Values
Transfer Indicator	Select the check box if the undertaking is transferable. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Transfer Conditions	Provide the conditions to transfer the undertaking.  Note This field is applicable only if the Transfer Conditions check box is checked. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

Others

Field	Description	Sample Values
Sender to Receiver Information	Select the additional information for receiver from the LOV. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Charges	Specify the charges for the undertaking from the LOV. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Advice Through Bank	Select the additional bank to advice the undertaking from the LOV.  Note This field is applicable only if Advice Through Bank field in Main Details has value.  Note In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available". For Guarantee Advising MT760, this field is blank.	

Field	Description	Sample Values
Available With	This field identifies the bank with which the credit is available of the issued LC. User must capture the bank details or any free text. Search the bank with SWIFT code (BIC) or Bank Name.  On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Confirmation Instructions	Select the confirmation instruction from the available values: - CONFIRM - MAY ADD - WITHOUT  Note This field is applicable if the Form of Undertaking is STBY - Standby LC. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Requested Confirmation Party	Select the requested confirmation party from the available options: - Advising Bank - Advise Through Bank - Others  Note This field is applicable if the Confirmation Instructions is Confirm or May Add. For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Confirming Bank	Select the Confirming Bank from the LOV.	
	 Note This field is applicable if the Requested Confirmation Party value is Others.	

Additional Fields

Banks can configure these additional fields during implementation.

Guarantee Advise Islamic
Scrutiny :: Application No:- PK11GTA000009290

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Guarantee Preferences
Additional Fields
Additional Details
Summary

Additional Fields

No Additional fields configured!

Screen (3 / 5)

Reject Refer Hold Cancel Save & Close Back Next

Additional Details

Scrutiny user wants to verify/input/update the additional details Data Segment of the Islamic Guarantee request. As part of Additional details section, GUARANTEE may have impact on the Limits, Collaterals and Charge section.

Guarantee Advise Islamic
Scrutiny :: Application No:- PK11GTA000009290

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Guarantee Preferences
Additional Fields
Additional Details
Summary

Additional Details

Limit & Collateral	Charge Details
Limit Currency :	Charge :
Limit Contribution :	Commission :
Limit Status :	Tax :
Collateral Currency :	Block Status :
Collateral :	
Contribution :	
Collateral Status :	

Screen (4 / 5)

Reject Refer Hold Cancel Save & Close Back Next

Commission, Charges and Taxes Details

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

For Guarantee Advising MT 760, charge details is simulated from back office, user can change the values.

Provide the Charge Details based on the description provided in the following table:

Commission,Charges and Taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 >										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
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Save & Close
Cancel

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant.	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. You can edit the same.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement Account.	
Charges from Beneficiary	Input the amount to be collected from beneficiary on account of this transaction.	

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage *

67.0

Collateral Currency and amount

USD

\$67.00

Exchange Rate

1

Sequence Number

1

Settlement Account Currency

GBP

Settlement Account

PK1000327018

Exchange Rate

1.3

Collateral %

100

Contribution Amount

\$67.00

Contribution Amount in Account Currency

0

Account Balance Check Response

VS

Response

The arr... can be...

Deposit Linkage Details

	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
	PK2CDP1210860501	GBP	2022-03-27	GBP	199100		\$990.00	PK2CDP1210860501

Page 1 of 1 (1 of 1 items)

Limits Details

Limit Details

Customer Id

001044

Line ID *

001044_GB

Contribution % *

100.0

Limits Description

Contribution Currency

GBP

Contribution Amount *

£9,000.00

Limit Currency

GBP

Limit Available Amount

£9,99,999.00

Limit Check Response

Available

Response Message

The Earmark can be performed as the f

Expiry Date

24-Dec-2020

Verify

Save & Close

Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
+	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼

▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼

▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Cash Collateral Details

Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	

Cash Collateral Details

Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Cash Collateral Details

Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	This button displays the applicable MT761 with MT760. Click to allow parsing of MT 760 along with MT761(up to 7) messages together to create a Guarantee Issuance. The user can also view the incoming MT765 by clicking the Incoming Message button.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On click of Back, system moves the task to the previous data segment.	

Summary

User can review the summary of details updated in Scrutiny stage of Islamic Guarantee Advice request. When you log in to Oracle Banking Trade Finance Process Management (OBTfPM) system, you can see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary tiles into respective data segments.

Guarantee Advice Islamic
Scrutiny :: Application No:- PK11GTA000009290

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Guarantee Preferences
Additional Fields
Additional Details
Summary

Summary

Main
SBLC/Guarantee Type : **RETN**
Submission Mode : **Desk**
Date of Issue : **2021-05-05**

Guarantee Preferences
Collection by :
Delivery of Original :
Amendment :

Additional Fields
Click here to view :
Additional fields :

Commission,Charges and Taxes
Charge :
Commission :
Tax :
Block Status : **Not Initia**

Parties Details
Applicant : **PHIL HAMPTON**
Beneficiary : **Trade Indi**
Confirming Bank : **WELLS FARG**

Reject Refer Hold Cancel Save & Close Back Next Sub

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and Guarantee details, if required.
- Guarantee Preference - User can view the details of Guarantee preference.
- Additional fields - User can view the details of Additional fields.
- Commission, Charges and Taxes - User can view and modify charge details, if required.
- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	This button displays the applicable MT761 with MT760. Click to allow parsing of MT 760 along with MT761(up to 7) messages together to create a Guarantee Issuance. The user can also view the incoming MT765 by clicking the Incoming Message button.	
Submit	Task will get moved to next logical stage of Guarantee Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Back	On click of Back, system moves the task to the previous data segment.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request.

Do the following steps to acquire a task which completed the Registration and Scrutiny and currently at Data enrichment stage:

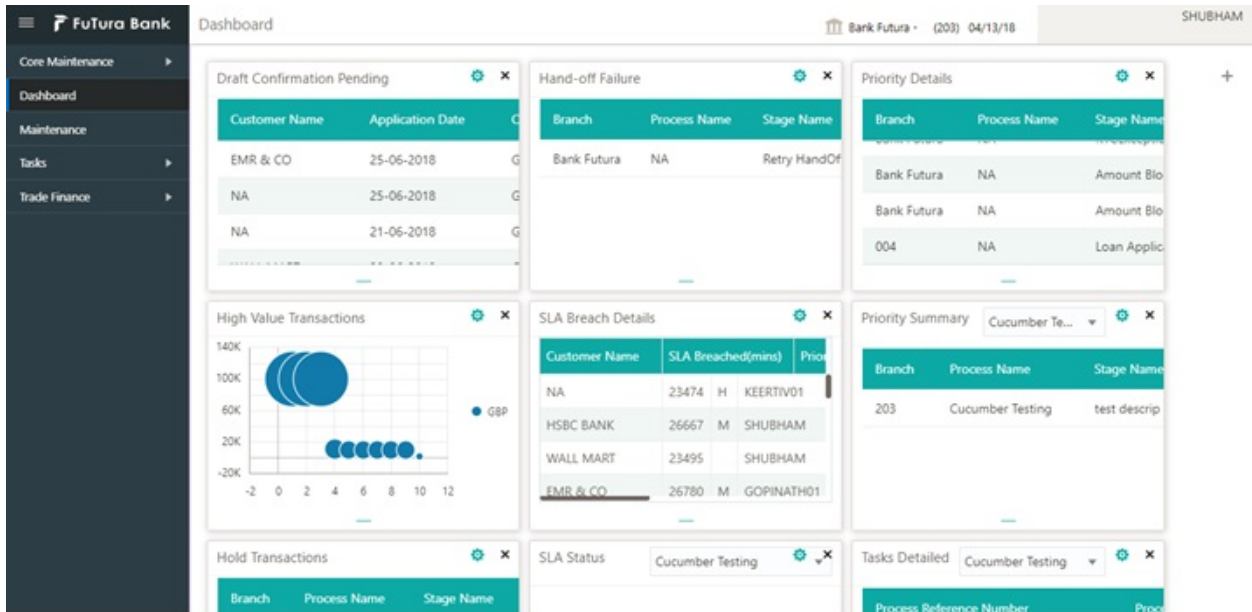


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Tasks > Free Tasks**.

The screenshot shows the Oracle Free Tasks page. The table lists various tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The tasks include:

- Acquire & Edit (Medium) - Guarantee Advise Islamic - PK1GTA000009290 - PK1GTA000009290 - DataEnrichment - 22-02-07 - PK2 - 001044
- Acquire & Edit (Medium) - Guarantee SBLC Advised-CL... - PK2IGAC000009349 - PK2IGAC000009349 - DataEnrichment - 22-02-08 - PK2 - 001044
- Acquire & Edit (Medium) - Export Documentary Collect... - PK2EDCB000009321 - PK2EDCB000009321 - Handoff RetryTask - 22-02-07 - PK2 - 001044
- Acquire & Edit (Medium) - Islamic Import Documentar... - PK2IIDB000009335 - PK2IIDB000009335 - DataEnrichment - 22-02-07 - PK2 - 001044
- Acquire & Edit (Medium) - Guarantee Cancellation Isla... - PK2IGCI000009309 - PK2IGCI000009309 - Approval Task Level 1 - 22-02-07 - PK2 - 000153
- Acquire & Edit (Medium) - Export Documentary Collect... - PK2EDCB000009331 - PK2EDCB000009331 - DataEnrichment - 22-02-07 - PK2 - 001044
- Acquire & Edit (Medium) - Export Documentary Collect... - PK2EDCB000009327 - PK2EDCB000009327 - DataEnrichment - 22-02-07 - PK2 - 001044
- Acquire & Edit (Medium) - Islamic Export LC Drawing ... - PK2IELU000009323 - PK2IELU000009323 - Scrutiny - 22-02-07 - PK2 - 001044
- Acquire & Edit (Medium) - Guarantee Issuance Amend... - PK2IGTM000009311 - PK2IGTM000009311 - DataEnrichment - 22-02-07 - PK2 - 000153
- Acquire & Edit (Medium) - Guarantee Issuance Islamic - PK2IGTI000009299 - PK2IGTI000009299 - Scrutiny - 22-02-07 - PK2 - 001044
- Acquire & Edit (Medium) - Islamic Import LC Drawing ... - PK2IILU000009297 - PK2IILU000009297 - Scrutiny - 22-02-07 - PK2 - 001044
- Acquire & Edit (Medium) - Islamic Export LC Drawing ... - PK2IELU000009296 - PK2IELU000009296 - Scrutiny - 22-02-07 - PK2 - 001044
- Acquire & Edit (Medium) - GuaranteeAdv Amendment ... - PK2IGAA000009288 - PK2IGAA000009288 - DataEnrichment - 22-02-07 - PK2 - 001044

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot shows the Oracle Free Tasks page, identical to the previous one, but with the 'Acquire & Edit' button highlighted for the first task (Guarantee Advise Islamic).

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒ Edit	Medium	Guarantee Advise Islamic	PK1IGTA000009290	PK1IGTA000009290	DataEnrichment	22-02-07	PK2		
☐ Edit	Medium	Islamic Export Docume...	PK2IEDL000009083	PK2IEDL000009083	DataEnrichment	22-02-03	PK2	001044	
☐ Edit	Medium	Import Documentary C...	PK2IDCU000008913	PK2IDCU000008913	Approval Task Level 1	22-02-01	PK2	000153	
☐ Edit	Medium	Import Documentary C...	PK1IDCB000008315	PK1IDCB000008315	Registration	22-01-21	PK2	000325	
☐ Edit	Medium	Import Documentary C...	PK1IDCB000008276	PK1IDCB000008276	DataEnrichment	22-01-20	PK2	000322	
☐ Edit	Medium	Import Documentary C...	PK2IDCR000008114	PK2IDCR000008114	DataEnrichment	22-01-18	PK2	000153	
☐ Edit	Medium	Export Documentary Co...	PK2EDCR000008031	PK2EDCR000008031	DataEnrichment	22-01-17	PK2	000153	
☐ Edit	---	Export Documentary Co...	PK2EDCR000008023	PK2EDCR000008023	Registration	22-01-17	PK2	000153	
☐ Edit	---	Export Documentary Co...	PK2EDCR000007966	PK2EDCR000007966	Registration	22-01-14	PK2	000153	
☐ Edit	Medium	Export Documentary Co...	PK2EDCL000007937	PK2EDCL000007937	DataEnrichment	22-01-13	PK2	000153	
☐ Edit	Medium	Export Documentary Co...	PK2EDCU000007098	PK2EDCU000007098	KYC Exceptional approval	21-12-15	PK2	000153	
☐ Edit	Medium	Export Documentary Co...	PK2EDCU000007766	PK2EDCU000007766	DataEnrichment	22-01-08	PK2	000153	
☐ Edit	Medium	Export Documentary Co...	PK1EDCB000007422	PK1EDCB000007422	DataEnrichment	21-12-27	PK2	000322	

The Data Enrichment stage has four sections as follows:

- Main Details
- Guarantee Preferences
- Acknowledgement Details
- Documents and Instructions
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

In the subsequent sub sections, let's look at the details for Data Enrichment stage. As part of data enrichment, user can enter/update basic details of the incoming request. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Guarantee Details

Refer to [Guarantee Details](#).

Guarantee Preferences

As part of DE, User can verify and enter the basic details available in the Guarantee request. In case the request is received through online channel, user can verify the details populated.

For more details, refer to [Guarantee preferences](#).

Acknowledgement Details

Data Enrichment user wants to enter the basic acknowledgement details of the Islamic Guarantee Advice request.

Guarantee Advise Islamic
DataEnrichment :: Application No:- PK1IGTA00009290

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Screen (3 / 8)

Acknowledgement Details

MT730- Acknowledgement Received

Advising Bank Reference
57a - Account with Bank

25 Account Identification
71 D Charges

30 Date of Acknowledgement
72-Sender to Receiver Information

32a Amount of Charges
79 Z Narrative

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the Acknowledgment Details based on the information in the following table:

Field	Description	Sample Values
Advising Bank Reference	Specify the advising bank reference.	
Account Identification	Select the account which is used for settlement of charges where necessary.	
Date of Acknowledgement	Select the date on which the message being acknowledged was sent. System displays the Branch Date. If the task is approved on a later date, then the date should be updated as the branch date as on approval.	
Amount of Charges	Select the currency code and enter the total amount of charges claimed by the advising bank. If the Sender services an account for the Receiver in the currency of the charges, and this System should default the Date of Debit as the branch date as on approval.	
Account with Bank	Select the bank at which the Sender wishes to receive credit for charges claimed.	
Charges	The user should be able to input more details about the charges	
Sender to Receiver Information	Select the any additional information the advising bank sends to the Issuing bank. This can be maintained as an FFT	
Narrative	Narrative if applicable.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	This button displays the applicable MT761 with MT760. Click to allow parsing of MT 760 along with MT761(up to 7) messages together to create a Guarantee Issuance. The user can also view the incoming MT765 by clicking the Incoming Message button.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Field	Description	Sample Values
Back	On click of Back, system moves back to the previous step.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Additional Fields

Refer to [Additional Fields](#).

Advices

Advices menu displays the advices from the back office as tiles. User can verify the advices details Data Segment of the Guarantee Advice request.

Guarantee Advice Islamic
DataEnrichment :: Application No:- PK1GTA000009290

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Guarantee Preferences
Acknowledgement Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Advices

Advice : ISB_BEN_CL	Advice : LC_ACK_ADVICE	Advice : ADV_THIRD_BANK	Advice : PAYMENT_MESS...
Advice Name : ISB_BEN_CL Advice Party : BEN Party Name : Trade Indiv 2 Suppress : NO Advice	Advice Name : LC_ACK_ADVICE Advice Party : ISB Party Name : WELLS FARGO LA Suppress : NO Advice	Advice Name : ADV_THIRD_BANK Advice Party : Party Name : Suppress : YES Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice

Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice ☐

Party ID 001041

Advice Name LC_ACK_ADVICE

Party Name WELLS FARGO LA

Medium SWIFT

Advice Party ISB

FFT Code

FFT Code	FFT Description	Action
71CHARGEDESC	71chargedesc	 

Page 1 of 1 (1 of 1 items) < 1 >

Instructions

Instruction Code	Instruction Description	Edit	Action
			 

OK Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	The name of advice is defaulted from the system.	
Medium	The medium of advices is defaulted from the system. User can update if required.	

Field	Description	Sample Values
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Delete icon	Click delete icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Delete icon	Click delete icon to remove any existing instruction code.	

Additional Details

As part of DE, the user can verify and enter the basic additional details available in the Guarantee. In case the request is received through online channel, the user verifies the details populated.

Guarantee Advise Islamic
DataEnrichment :: Application No:- PK1IGTA000009290

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Guarantee Preferences
Acknowledgement Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Details

Limit & Collateral	Charge Details	Preview Message
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : Commission : Tax : Block Status :	Language : Guarantee Number : PK2GUA121125AGZ6

Reject Refer Hold Cancel Save & Close Back Next

Limit and Collateral

The limits and collateral details are displayed as tile. The tiles displays a list of important fields with values.



Note

For Guarantee Advising MT 760, user can input the values, applicable if Advising bank confirms undertaking.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage *
67.0

Collateral Currency and amount
USD
\$67.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arr can be

Deposit Linkage Details

	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
	PK2CDP1210860501	GBP	2022-03-27	GBP	199100	\$990.00	PK2CDP1210860501	

Page 1 of 1 (1 of 1 items)

Limits Details

Limit Details

Customer Id
001044

Line ID *
001044_GB

Contribution % *
100.0

Limits Description

Contribution Currency
GBP

Contribution Amount *
£9,000.00

Limit Currency
GBP

Limit Available Amount
£9,99,999.00

Limit Check Response
Available

Response Message
The Earmark can be performed as the f

Expiry Date
24-Dec-2020

Verify

Save & Close
Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼ ▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼ ▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Cash Collateral Details

Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	

Cash Collateral Details

Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Cash Collateral Details

Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Commission, Charges and Taxes Details

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

For Guarantee Advising MT 760, charge details is simulated from back office, user can change the values.

Provide the Charge Details based on the description provided in the following table:

Commission,Charges and Taxes ×

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 >										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
-----------	------	------------	----------	--------	---------	-------	--------------------

Save & Close

Cancel

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant.	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. You can edit the same.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement Account.	
Charges from Beneficiary	Input the amount to be collected from beneficiary on account of this transaction.	

Preview Message

This screen provides preview of draft guarantee details. If required, the draft can be sent for legal verification to legal team and draft confirmation to customer.

For Guarantee Advising MT 760, preview message has Debit advice, Instrument Copy, MT 768.

Preview

Preview - SWIFT Message

Language

English

Message Type

730

Preview Message

-----Instance Type and Transmission-----

Original Received from Application - Outgoing Draft

Priority/Delivery : Normal

-----Message Header-----

Swift Input : FIN 730 Acknowledgement

Sender Swift address : AAEMNL21XXX

ANTHOS ASSET MANAGEMENT B.V.

AAEMNL21XXX

JACHTHAVENWEG 111

1008 AB AMSTERDAM

Receiver Swift address : WFBUS65XXX

WELLS FARGO LA

WFBUS65

-----User Header-----

Message-User-Reference : 0392202698268109

-----Message Text-----

:20: Sender's Reference

PK2GUA121125AGZ6

:21: Receiver's Reference

001041

:30: Date of Message Being Acknowledged

210505

Preview - Mail Advice

Language

English

Advice Type

Preview Message

Save & Close

Close

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	This button displays the applicable MT761 with MT760. Click to allow parsing of MT 760 along with MT761(up to 7) messages together to create a Guarantee Issuance. The user can also view the incoming MT765 by clicking the Incoming Message button.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On click of Back, system moves back to the previous step.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Settlement Details

As part of DE, the user verifies and enters the basic additional details available in the Guarantee. In case the request is received through online channel, the user verifies the details populated.

Guarantee Advise Islamic
DataEnrichment :: Application No:- PK11GTA000009290

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Screen (7 / 8)

Main Guarantee Preferences Acknowledgement Details Additional Fields Advises Additional Details Settlement Details Summary

Settlement Details
☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
COLLAMT_OSEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLLAMT_AMNDAMTEQ	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLLAMT_SEQ	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLLAMT_DECR	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLLAMT_INCR	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLLAMT_AVALAMTEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No

COLLAMT_OSEQ - Party Details

Transfer Type

Ordering Institution

Account With Institution

Charge Details

Senders Correspondent

Beneficiary Institution

Netting Indicator

Receivers Correspondent

Ultimate Beneficiary

Ordering Customer

Intermediary Institution

Intermediary Reimbursement Institution

Payment Details

Sender To Receiver 1

Sender To Receiver 2

Sender To Receiver 3

Sender To Receiver 4

Sender To Receiver 5

Sender To Receiver 6

Remittance Information

Payment Detail 1

Payment Detail 2

Payment Detail 3

Payment Detail 4

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

Summary

User can view the summary of details updated in multilevel approval stage of Guarantee request

The tiles will display a list of important fields with values. User can drill down from summary tiles into respective data segments, where the user verifies the details of all fields under the data segment.

Guarantee Advise Islamic
DataEnrichment :: Application No:- PK1GTA000009290

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Screen (8 / 8)

Main
Guarantee Preferences
Acknowledgement Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Summary

Main	Guarantee Preferences	Acknowledgement Details	Additional Fields
SBL/Guarantee Type : RETN Submission Mode : Desk Date of Issue : 2021-05-05	Collection by : Delivery of Original Amendment :	Account Identification : Date of Acknowledgement : Amount : Currency :	Click here to view Additional fields :
Advices	Commission,Charges and Taxes	Advice Preview Details	Parties Details
Advice 1 : ISB_BEN_CL Advice 2 : LC_ACK_ADV Advice 3 : ADV_THIRD_ Advice 4 : PAYMENT_ME	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Confirming Bank : WELLS FARG Applicant : PHIL HAMPTON Beneficiary : Trade Indi
Compliance details	Accounting Details	Settlement Details	
KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : BANC AccountNumber : 520000003 Branch : PK2	Component : COLL_AVALA Account Number : PK1000325025 Currency : USD	

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main - User can view and modify details about application details and guarantee details, if required.
- Guarantee Preferences - User can view the Guarantee Preferences.
- Charge Details - User can view and modify charge details, if required.
- Guarantee Details - User can view and modify Guarantee details, if required.
- Advice Preview - User can view draft guarantee details.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Submit	Task will get moved to next logical stage of Guarantee Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Back	On click of Back, system moves back to the previous step.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

The Guarantee Advice request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.

- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Application

Refer to [Application Details](#).

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details- User can view details about application details and Guarantee details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Charge details - User can view details provided for charges.
- Guarantee Details - User can view Guarantee details and Sender to Receive Information.
- Advice Preview - User can view draft guarantee details.
- Compliance - User can view the compliance details tiles.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Amount Block Exception Inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Application

Refer to [Application Details](#).

Summary

Guarantee Advise Islamic
KYC Exceptional approval :: Application No:- PK1GTA000009290

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

KYC Exception

Summary

Screen (2 / 2)

Main	Guarantee Details	Acknowledgement Details	Additional Fields
SBLG/Guarantee Type : RETN Submission Mode : Desk Date of Issue : 2021-05-05	FFT Code 1 : FFT Code 2 :	Account Identification : Date of Acknowledgement : Amount Currency :	Click here to view Additional fields :
ADVICES	Commission,Charges and Taxes	Advice Preview Details	Parties Details
Advice 1 : ISB_BEN_CL Advice 2 : LC_ACK_ADV Advice 3 : ADV_THIRD_ Advice 4 : PAYMENT_ME	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Confirming Bank : WELLS FARG Applicant : PHIL HAMPTON Beneficiary : Trade Indi
Compliance details			
KYC : Not Verified Sanctions : Not Initia AML : Not Initia			

Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details- User can view details about application details and Guarantee details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Charge details - User can view details provided for charges.
- Guarantee Details - User can view Guarantee details and Sender to Receive Information.
- Advice Preview - User can view draft guarantee details.
- Compliance - User can view the compliance details tiles.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the KYC Exception inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Application

Refer to [Application Details](#).

Summary

Tiles Displayed in Summary:

- Main Details- User can view details about application details and Guarantee details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Charge details - User can view details provided for charges.
- Guarantee Details - User can view Guarantee details and Sender to Receive Information.
- Advice Preview - User can view draft guarantee details.
- Compliance - User can view the compliance details tiles.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Limit check inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

A user can view the summary of details updated in multilevel approval stage of Islamic Guarantee Advice request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Amount
- Beneficiary Party
- Expiry Date
- Issuing Bank
- Validity

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Action	Priority	Application	Amount	Process Name	Stage
Acquire & Edit	M	203GTEISS00	£20,002.00	Guarantee Issuance	Retry HandOff
Acquire & Edit		203GTEADV0	0	Guarantee Advice	Registration
Acquire & Edit		AT1GTEADV0	0	Guarantee Advice	Registration
Acquire & Edit		203ILCISS00	0	Import LC	Registration
Acquire & Edit	M	203ILCISS00	£1,234.00	Import LC	Approval1
Acquire & Edit	M	203GTEISS00	£20,002.00	Guarantee Issuance	Customer Response Verification
Acquire & Edit	M	203ELCADV0	£10,000.00	Export LC	Data Enrichment
Acquire & Edit		203ILCISS00	0	Import LC	Registration
Acquire & Edit	M	203ELCADV0	£1,000.00	Export LC	Scrutiny
Acquire & Edit		203ELCADV0	0	Export LC	Scrutiny

Summary

Guarantee Advise Islamic
Approval Task Level 1 : Application No:- PK1IGTA000009290

Documents
Remarks
Overrides
Customer Instruction
Common Group Messages
Incoming Message

Main	Guarantee Preferences	Additional Fields	ADVICES	Limits and Collaterals
SBL/Guarantee Type : RETN Submission Mode : Desk Date of Issue : 2021-05-05	Collection by : Delivery of Original : Amendment :	Click here to view : Additional fields :	Advice 1 : ISB_BEN_CL Advice 2 : LC_ACK_ADV Advice 3 : ADV_THIRD_ Advice 4 : PAYMENT_ME	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified
Commission,Charges and Taxes	Advice Preview Details	Parties Details	Compliance details	Acknowledgement Details
Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Applicant : PHIL HAMPTON Confirming Bank : WELLS FARG Beneficiary : Trade Indi	KYC : Not Verified Sanctions : Verified AML : Verified	Account Identification : Date of Acknowledgement : Amount : Currency :
Accounting Details	Exception(Approval)			
Event : BANC AccountNumber : 6200000003 Branch : PK2	KYC : EXCEPTION PLEASE VISIT : REMARKS FOR MORE : DETAILS :			

Audit
Reject
Hold
Refer
Cancel
Approve

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Charge Details - User can view and modify charge details, if required.
- Guarantee Details - User can view and modify Guarantee details, if required.
- Advice Preview - User can view draft guarantee details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Guarantee Advice approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Guarantee Advice in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Charge Details - User can view and modify charge details, if required.

- **Guarantee Details** - User can view and modify Counter Guarantee details and Guarantee details, if required.
- **Advice Preview** - User can view and modify draft guarantee details, legal verification and customer confirmation details, if required.
- **Compliance** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- **Remarks** - As a Reject approval user, you will be able to view the remarks captured in the process during earlier stages. User also can see the Reject code with reason for rejection in the Remarks column

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

Documentation Accessibility

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